# Washington State Department of Labor and Industries Specifications for NPI Data Capture: ASC X12N 837 (4010A1)

L&I will be implementing system and business process changes to utilize the National Provider Identifier (NPI). This change is to accommodate any Workers Compensation healthcare providers using an NPI. Providers may continue using their L&I account numbers, even after the national compliance date of May 23, 2007. However, providers with an NPI(s) may find administrative benefits to using it for all payers, including billing for medical services provided to injured workers.

## **Purpose**

The agency's preliminary phase of implementation begins July 2006. Providers and clearinghouses are encouraged to submit the National Provider Identifier (NPI) in addition to the L&I provider account number in the 837 X12N 4010A1 transactions. The purpose of including the NPI is to build a cross-reference between the NPI and the L&I provider account. This cross-reference will be utilized following the agency's full implementation, currently scheduled for March 2007.

During the preliminary phase the agency continues to require the submission of the L&I provider account number within the 837 X12N 4010A1 transactions. Omission of this field will result in denied transactions. The NPI will NOT be used to adjudicate or process transactions and will not be returned in the 277 unsolicited acknowledgements/pended notices, or in the 835 remittance advice in this phase.

The agency's full NPI implementation will allow providers to submit their 837 transactions with their NPI, and not require submission of the L&I provider account number. Their 277 acknowledgement/pended notice, and 835 remittance advice will include their corresponding NPI with final implementation.

### **NPI Data Capture Specifications**

The following specifications define the 837 X12N 4010A1 elements and L&I requirements for the NPI Data Capture phase. These specifications ONLY address the elements related to provider identification within the transactions. Refer to the current Washington State ASC X12N 837 (4010A1) Companion Guide, Version 2.0 for all other billing data requirements. A complete revision to the Companion Guide (version 3.0) will be published to reflect these changes and any additional optional values upon full implementation of NPI.

Providing all the elements specified will help to ensure a more complete and accurate cross-reference between providers' L&I account number(s) and their NPI(s). Please note: the L&I number continues to be required in the REF segment for all applicable loops. The main change is that the NPI is now the primary identifier in all loops, and the tax identification is moved to an additional secondary identifier REF segment. Include the provider's taxonomy code for their specialty wherever possible. Include all relevant address information. Include rendering provider address information in the Service Facility loop, if the address is different than in the billing/pay-to loop.

#### Testing

No testing will be required during the data capture phase. Providers and clearinghouses submitting their transactions through the Provider Express Billing (PEB) web site will be required to perform full-loop testing (billing transactions – remittance advice) once NPI is fully implemented in the L&I systems in March 2007. The e-billing support unit will coordinate your transition testing and validation. There should be no disruption to revenue continuity during testing as providers will continue to include their L&I provider account number until successful testing is complete.

Please continue to check the L&I web site for additional information and the transition schedule, regarding implementation of the National Provider Identifier.

http://www.lni.wa.gov/ClaimsIns/Providers/Billing/BillLNI/default.asp

## 837 Institutional Healthcare Claim, 4010X096A1

Loop	Segme		Industry Name	Content	Usage
2010 1 1	Data I	Liement	Date D 11 M		D.
2010AA	ND44		Billing Provider Name		R
	NM1	NIN (101	Billing Provider Name	05	n
		NM101	Entity Code Identifier	85	R
		NM102	Entity Type Qualifier	2	R
		NM103	Billing Provider Name	Last name or Organization	R
		NM108	Billing Provider ID Qualifier	XX	S
	DEE	NM109	Billing Provider Identifier	NPI (10-digit National Provider ID	S
	REF		Billing Provider Secondary Identification		
		REF01	Reference ID Qualifier	X5	R
		REF02	Billing Provider Secondary ID	WA State Dept. of Labor and Industries	R
		KEI 02	Billing Frovider Secondary 112	assigned 7-digit provider account number	K
	REF		Billing Provider Secondary		
			Identification		
		REF01	Reference ID Qualifier	EI	R
		REF02	Billing Provider Secondary ID	Federal Tax ID Number	R
	N3		Billing Provider Address		
		N301	Billing Provider Address	Address Line 1	R
	N4		Billing Provider City/State/Zip		
		N401	Billing Provider City	City Name	R
		N402	Billing Provider State	2 digit State Reference	R
		N403	Billing Provider Zip	5-9 digit Zip Code	R
2010AB			Pay-to Provider Name		S
			(Used only if different than Billing		
			Provider name; if used all data		
			requirements are the same is defined		
			for Billing Provider Name Loop)		
2310A			Attending Physician Name		S
	NM1		Attending Physician Name		S
		NM101	Entity Code Identifier: Attending Physician	71	R
		NM102	Entity Type Qualifier	1	R
		NM103	Attending Physician	Last Name	R
		NM104	Attending Physician	First Name	R
		NM105	Attending Physician	Middle Name	S
		NM108	Identification Code Qualifier	XX	S
		NM109	Identification Code	NPI (10-digit National Provider ID)	S
	PRV		Attending Physician Specialty Identification		S
		PRV01	Provider Code: Attending	AT	R
		PRV02	Reference ID Qualifier	ZZ	R
		PRV03	Provider Taxonomy Code	10-digt provider specialty code	S
	REF	DEFO	Attending Physician Secondary Identification	V.	S
		REF01	Reference ID Qualifier	X5	R
		REF02	Attending Physician Secondary ID	WA State Dept. of Labor and Industries assigned 7-digit provider account number	R
	REF		Attending Physician Secondary Identification		S
		REF01	Reference ID Qualifier	EI	R
		2220	Attending Physician Secondary ID	Federal Tax ID Number	R
		REF02			
2310B		REF02	Operating Physician Name		S
2310B	NM1	REF02			S
2310B	NM1	NM101	Operating Physician Name Operating Physician Name Entity Code Identifier: Operating Physician	72	
2310B	NM1		Operating Physician Name Operating Physician Name Entity Code Identifier: Operating		S

		NIM104	Operating Physician	First Name	D
		NM104	Operating Physician Operating Physician	I .	R
		NM105 NM108	Identification Code Qualifier	Middle Name	S
	_	NM108 NM109	Identification Code Qualifier	XX NPI (10-digit National Provider ID)	S
	PRV	18111109	Operating Physician Specialty	NPT (10-digit National Provider ID)	S
	PKV		Identification		
		PRV01	Provider Code: Operating	OP	R
		PRV02	Reference ID Qualifier	ZZ	R
		PRV03	Provider Taxonomy Code	10-digt provider specialty code	S
	REF		Operating Physician Secondary Identification		S
		REF01	Reference ID Qualifier	X5	R
		REF02	Operating Physician Secondary ID	WA State Dept. of Labor and Industries assigned 7-digit provider account number	R
	REF		Operating Physician Secondary Identification		S
		REF01	Reference ID Qualifier	EI	R
		REF02	Operating Physician Secondary ID	Federal Tax ID Number	R
2310C			Other Provider Name		S
	NM1		Other Provider Name		S
		NM101	Entity Code Identifier: Other Provider	73	R
		NM102	Entity Type Qualifier	1	R
		NM103	Other Physician	Last Name	R
		NM104	Other Physician	First Name	R
		NM105	Other Physician	Middle Name	S
		NM108	Identification Code Qualifier	XX	S
		NM109	Identification Code	NPI (10-digit National Provider ID)	S
	PRV		Other Provider Specialty Identification		S
		PRV01	Provider Code: Other or Performing	OT or PE	R
		PRV02	Reference ID Qualifier	ZZ	R
		PRV03	Provider Taxonomy Code	10-digt provider specialty code	S
	REF		Other Provider Secondary Identification		S
		REF01	Reference ID Qualifier	X5	R
		REF02	Other Physician Secondary ID	WA State Dept. of Labor and Industries assigned 7-digit provider account number	R
	REF		Other Provider Secondary Identification		S
		REF01	Reference ID Qualifier	EI	R
		REF02	Other Physician Secondary ID	Federal Tax ID Number	R
2310E			Service Facility Location Name		S
			(Required when location of health care service is different than from the Billing (2010AA) or Pay-to (2010AB) loops.)		
	NM1		Service Facility Location		R
		NM101	Entity Code Identifier: Service Facility Location	FA	R
		NM102	Entity Type Qualifier	2	R
		NM103	Service Facility Location	Last Name or Organization	R
		NM108	Identification Code Qualifier	XX	S
		NM109	Identification Code	NPI (10-digit National Provider ID)	S
	N3		Service Facility Location Address		R
		N301	Service Facility Location Address	Address Line 1	R
		N302	Service Facility Location Address	Address Line 2	S
	N4		Service Facility Location City/State/Zip		R
		N401	Service Facility Location City	City Name	R
		N402	Service Facility Location State	2 digit State Reference	R
		N403	Service Facility Location Zip	5-9 digit Zip Code	R

## 837 Professional Healthcare Claim, 4010X098A1

Loop	Segment/ Data Element	Industry Name	Content	Usage
2010AA		Billing Provider Name		R
	NM1	Billing Provider Name		

		NM101	Entity Code Identifier	85	R
		NM101 NM102	Entity Code Identifier  Entity Type Qualifier	2	R
		NM102	Billing Provider Name	Last name or Organization	R
		NM108	Billing Provider ID Qualifier	XX	S
		NM109	Billing Provider Identifier	NPI (10-digit National Provider ID	S
	REF	11111109	Billing Provider Secondary	1141 (10-digit National Flovider ID	3
	KLI	ļ	Identification		
		REF01	Reference ID Qualifier	X5	R
		REF02	Billing Provider Secondary ID	WA State Dept. of Labor and Industries	R
		KEF-02	Bining 1 lovider secondary 1D	assigned 7-digit provider account	K
		ļ		number	
	REF		Billing Provider Secondary	namet.	
		ļ	Identification		
		REF01	Reference ID Qualifier	EI	R
		REF02	Billing Provider Secondary ID	Federal Tax ID Number	R
	N3		Billing Provider Address		
		N301	Billing Provider Address	Address Line 1	R
	N4		Billing Provider City/State/Zip		
		N401	Billing Provider City	City Name	R
		N402	Billing Provider State	2 digit State Reference	R
		N403	Billing Provider Zip	5-9 digit Zip Code	R
2010AB			Pay-to Provider Name		S
			(Used only if different than Billing		
		ļ	Provider name; if used all data		
		ļ	requirements are the same is defined		
			for Billing Provider Name Loop)		
2310A			Referring Physician Name		S
	NM1		Referring Physician Name		S
		NM101	Entity Code Identifier: Referring	DN	R
			Physician		
		NM102	Entity Type Qualifier	1	R
		NM103	Referring Physician	Last Name	R
		NM104	Referring Physician	First Name	R
		NM105	Referring Physician	Middle Name	S
		NM108	Identification Code Qualifier	XX	S
		NM109	Identification Code	NPI (10-digit National Provider ID)	S
	PRV		Referring Physician Specialty Identification		S
		PRV01	Provider Code: Referring	RF	R
		PRV02	Reference ID Qualifier	ZZ	R
		PRV03	Provider Taxonomy Code	10-digt provider specialty code	S
	REF	ļ	Referring Physician Secondary		S
			Identification		
		REF01	Reference ID Qualifier	X5	R
			n 4 : n: :: 0 : m	*****	
		REF02	Referring Physician Secondary ID	WA State Dept. of Labor and Industries assigned 7-digit provider account number	R
	REF	REF02	Referring Physician Secondary		
	REF		Referring Physician Secondary Identification	assigned 7-digit provider account number	R S
	REF	REF01	Referring Physician Secondary Identification Reference ID Qualifier	assigned 7-digit provider account number	R S R
2210D	REF		Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID	assigned 7-digit provider account number	R S R R
2310B		REF01	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name	assigned 7-digit provider account number	R S R R S
2310B	REF NM1	REF01 REF02	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name	assigned 7-digit provider account number  EI Federal Tax ID Number	R R R S S S
2310B		REF01	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering	assigned 7-digit provider account number	R S R R S
2310B		REF01 REF02 NM101	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician	assigned 7-digit provider account number  EI Federal Tax ID Number	R R R S S R
2310B		REF01 REF02 NM101 NM102	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier	assigned 7-digit provider account number  EI Federal Tax ID Number  82	R R R S S R R
2310B		REF01 REF02 NM101 NM102 NM103	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician	assigned 7-digit provider account number  EI Federal Tax ID Number  82 1 Last Name	R R R S S R R R
2310B		REF01 REF02 NM101 NM102 NM103 NM104	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name	R R R S S R R R R R
2310B		REF01 REF02 NM101 NM102 NM103 NM104 NM105	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Rendering Physician	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name	R R R S S R R R R R R R R R R
2310B		REF01 REF02 NM101 NM102 NM103 NM104 NM105 NM108	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name XX	R R R S S R R R R R R R S S S S
2310B		REF01 REF02 NM101 NM102 NM103 NM104 NM105	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier Identification Code Rendering Physician Specialty	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name	R R R S S R R R R R R R R R R
2310B	NM1	REF01 REF02 NM101 NM102 NM103 NM104 NM105 NM108 NM109	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier Identification Code Rendering Physician Specialty Identification	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name XX NPI (10-digit National Provider ID)	R R R R S S R R R R S S S S S S S S S S
2310B	NM1	REF01 REF02 NM101 NM102 NM103 NM104 NM105 NM108 NM109	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier Identification Code Rendering Physician Specialty Identification Provider Code: Performing	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name XX NPI (10-digit National Provider ID)  PE	R R R R S S S R R R R R R R R S S S S R
2310B	NM1	REF01 REF02 NM101 NM102 NM103 NM104 NM105 NM108 NM109	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier Identification Code Rendering Physician Specialty Identification Provider Code: Performing Reference ID Qualifier	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name XX NPI (10-digit National Provider ID)  PE ZZ	R R R S S S R R R R R R R R R R R R R R
2310B	NM1	REF01 REF02 NM101 NM102 NM103 NM104 NM105 NM108 NM109	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier Identification Code Rendering Physician Specialty Identification Provider Code: Performing Reference ID Qualifier Provider Taxonomy Code Rendering Physician Secondary	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name XX NPI (10-digit National Provider ID)  PE	R R R R S S S R R R R R R R S S S R R
2310B	NM1 PRV	REF01 REF02 NM101 NM102 NM103 NM104 NM105 NM108 NM109	Referring Physician Secondary Identification Reference ID Qualifier Referring Physician Secondary ID Rendering Physician Name Rendering Physician Name Entity Code Identifier: Rendering Physician Entity Type Qualifier Rendering Physician Rendering Physician Rendering Physician Identification Code Qualifier Identification Code Rendering Physician Specialty Identification Provider Code: Performing Reference ID Qualifier Provider Taxonomy Code	assigned 7-digit provider account number  EI Federal Tax ID Number  82  1 Last Name First Name Middle Name XX NPI (10-digit National Provider ID)  PE ZZ	R R R R S S S R R R R R R R R R R S S S S S S

			assigned 7-digit provider account	
	777		number	
	REF	Rendering Physician Secondary		S
		Identification		
	REF01	Reference ID Qualifier	EI	R
	REF02	Rendering Physician Secondary ID	Federal Tax ID Number	R
2310D		Service Facility Location		S
		(Required when location of health care service is different than from the Billing (2010AA) or Pay-to (2010AB)		
		loops.)		
	NM1	Service Facility Location		R
	NM101	Entity Code Identifier: Service Facility	FA	R
		Location		
	NM102	Entity Type Qualifier	2	R
	NM103	Service Facility Location	Last Name or Organization	R
	NM108	Identification Code Qualifier	XX	S
	NM109	Identification Code	NPI (10-digit National Provider ID)	S
	N3	Service Facility Location Address		R
	N301	Service Facility Location Address	Address Line 1	R
	N302	Service Facility Location Address	Address Line 2	S
	N4	Service Facility Location		R
		City/State/Zip		
	N401	Service Facility Location City	City Name	R
	N402	Service Facility Location State	2 digit State Reference	R
	N403	Service Facility Location Zip	5-9 digit Zip Code	R

# 837 Dental Healthcare Claim, 4010X097A1

Loop	Segment/	Industry Name	Content	Usage
	Data Element	•		
2010AA		Billing Provider Name		R
	NM1	Billing Provider Name		
	NM101	Entity Code Identifier	85	R
	NM102	Entity Type Qualifier	2	R
	NM103	Billing Provider Name	Last name or Organization	R
	NM108	Billing Provider ID Qualifier	XX	S
	NM109	Billing Provider Identifier	NPI (10-digit National Provider ID	S
	REF	Billing Provider Secondary		
		Identification		
	REF01	Reference ID Qualifier	G2	R
	REF02	Billing Provider Secondary ID	WA State Dept. of Labor and Industries	R
			assigned 7-digit provider account	
			number	
	REF	Billing Provider Secondary		
		Identification		
	REF01	Reference ID Qualifier	EI	R
	REF02	Billing Provider Secondary ID	Employer ID Number	R
	N3	Billing Provider Address		
	N301	Billing Provider Address	Address Line 1	R
	N4	Billing Provider City/State/Zip		
	N401	Billing Provider City	City Name	R
	N402	Billing Provider State	2 digit State Reference	R
	N403	Billing Provider Zip	5-9 digit Zip Code	R
2010AB		Pay-to Provider Name		S
		(Used only if different than Billing		
		Provider name; if used all data		
		requirements are the same is defined		
		for Billing Provider Name Loop)		
2310A		Referring Physician Name		S
	NM1	Referring Physician Name		S
	NM101	Entity Code Identifier: Referring	DN	R
		Physician		
	NM102	Entity Type Qualifier	1	R
	NM103	Referring Physician	Last Name	R
	NM104	Referring Physician	First Name	R
	NM105	Referring Physician	Middle Name	S
	NM108	Identification Code Qualifier	XX	S
	NM109	Identification Code	NPI (10-digit National Provider ID)	S
	PRV	Referring Physician Specialty		S

			Identification		
		PRV01	Provider Code: Referring	RF	R
		PRV02	Reference ID Qualifier	ZZ	R
		PRV03	Provider Taxonomy Code	10-digt provider specialty code	S
	REF		Referring Physician Secondary		S
			Identification		
		REF01	Reference ID Qualifier	G2	R
		REF02	Referring Physician Secondary ID	WA State Dept. of Labor and Industries assigned 7-digit provider account number	R
	REF		Referring Physician Secondary Identification		S
		REF01	Reference ID Qualifier	EI	R
		REF02	Referring Physician Secondary ID	Employer ID Number	R
2310B			Rendering Physician Name		S
	NM1		Rendering Physician Name		S
		NM101	Entity Code Identifier: Rendering Physician	82	R
		NM102	Entity Type Qualifier	1	R
		NM103	Rendering Physician	Last Name	R
		NM104	Rendering Physician	First Name	R
		NM105	Rendering Physician	Middle Name	S
		NM108	Identification Code Qualifier	XX	S
		NM109	Identification Code	NPI (10-digit National Provider ID)	S
	PRV		Rendering Physician Specialty Identification		S
		PRV01	Provider Code: Performing	PE	R
		PRV02	Reference ID Qualifier	ZZ	R
		PRV03	Provider Taxonomy Code	10-digt provider specialty code	S
	REF		Rendering Physician Secondary Identification	, ,	S
		REF01	Reference ID Qualifier	G2	R
		REF02	Rendering Physician Secondary ID	WA State Dept. of Labor and Industries assigned 7-digit provider account number	R
	REF		Rendering Physician Secondary Identification		S
		REF01	Reference ID Qualifier	EI	R
		REF02	Rendering Physician Secondary ID	Employer ID Number	R
2310C			Service Facility Location		S
			(Required when location of health care service is different than from the Billing (2010AA) or Pay-to (2010AB) loops.)		
	NM1		Service Facility Location		R
		NM101	Entity Code Identifier: Service Facility Location	FA	R
		NM102	Entity Type Qualifier	2	R
		NM103	Service Facility Location	Last Name or Organization	R
		NM108	Identification Code Qualifier	XX	S
		NM109	Identification Code	NPI (10-digit National Provider ID)	S
	N3		Service Facility Location Address		R
		N301	Service Facility Location Address	Address Line 1	R
		N302	Service Facility Location Address	Address Line 2	S
	N4		Service Facility Location City/State/Zip		R
		N401	Service Facility Location City	City Name	R
		N402	Service Facility Location State	2 digit State Reference	R
		N403	Service Facility Location Zip	5-9 digit Zip Code	R